

Compiler Practical 2013

Storage Administration: Overview

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1. Heap Administration
2. Automatic Garbage Collection
3. Reference Countin
4. Mark and Sweep Collectors
5. Copying Collectors
6. Mark and Compact Collectors

- Can be implemented very fast and easy
- Standard implementation in LOOP-0
- Full, some time ... ;-)
- Cannot be used if the program shall run for some time, and works with data on the heap.

- For programming languages mit explicit (manual) allocation/de-allocation:
 - Keeping one or several lists that link free blocks on the heap
 - Allocation in these lists
 - De-allocated blocks are added to one of these lists
 - Fragmentation (and counter measures)
 - Bigger blocks are no longer available
 - Allocation: *First-fit* vs. *Best-fit*
 - De-allocation: Joining adjacent blocks to bigger blocks

- Explicit storage management is error-prone
 - Storage leaks
 - Multiple de-allocation
 - Dereferencing of „dangling pointers“
- Automatic storage management can be faster
(Benjamin Zorn, The Measured Cost of Conservative Garbage Collection, *Software - Practice and Experience*)
 - Using times of low activity
 - Generational garbage collection

Drawbacks

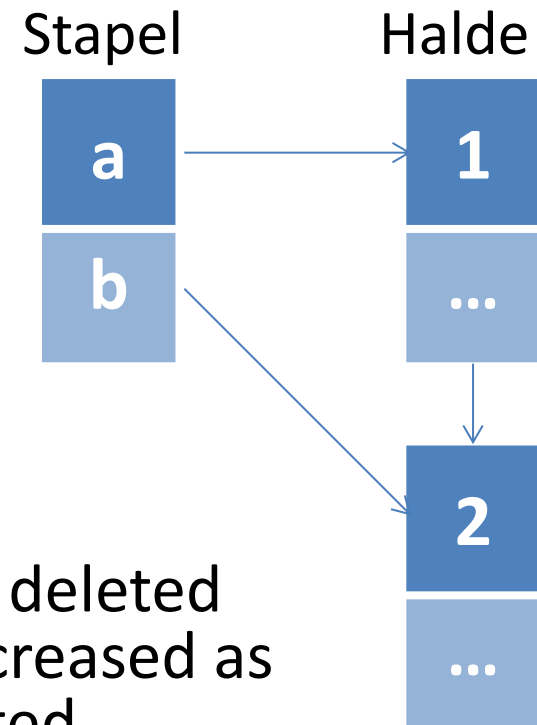
- Temporary suspension of program execution during garbage collection
- Some operations are slowed down, e.g., assignments, by reference counting
- Possibly additional initialization of variables that are otherwise useless

With simple de-allocation:

- Used storage is untouched
- All pointers / references stay valid
- Can be done in parallel with program execution
- Does not solve fragmentation

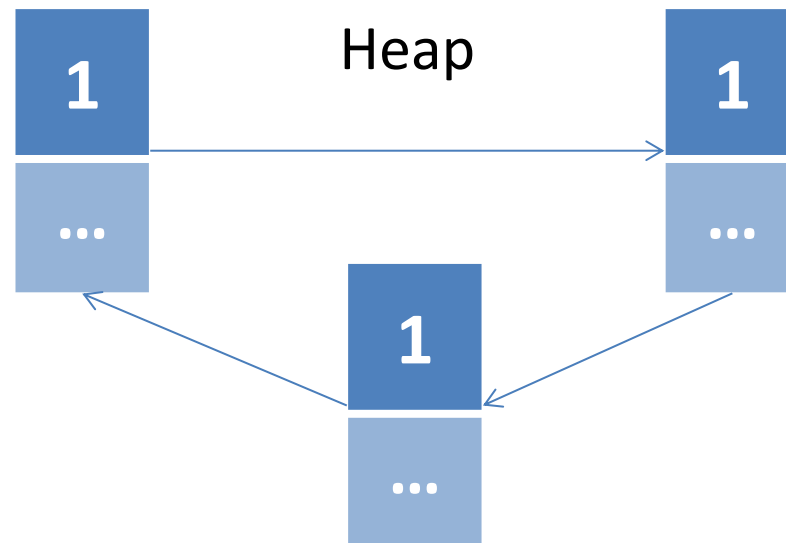
- Used storage is pushed together
- All pointers / references have to be adjusted
 - On the stack
 - Within objects on the heap
- Cannot be done in parallel to program execution

- Every object on the heap gets a reference count
- The counter is set to 0 during allocation
- With every assignment to a reference
 - The counter of the newly assigned object is increased, and the counter of the object held previously is decreased
 - If this count equals 0, the object is deleted
 - For every object reference of the deleted object, the reference count is decreased as well, and the object may be deleted



Reference Counters: Cycles

- Cyclic structures cannot be de-allocated



Separate Storage Adjustment

- When?
 - If no heap space is available
 - If there is time
- How?
 - Determining which blocks on the heap can be reached from the stack
 - De-allocation of all not reachable blocks
 - Compactification of the heap

- Mark every block on the heap as *not reachable*
- Construct the *RootSet*: all blocks on the heap that are directly referenced from the stack
- For every block b in the *RootSet* that is marked as unreachable:
 - Mark b as *reachable*
 - Check, recursively, every block b' that is still unreachable, and is referenced from block b
- De-allocate every block on the heap that is still marked as *unreachable*
- Cost: $O(\text{number of blocks on the heap})$

- Every block belongs always to exactly one of the following lists
 - *free*: list of free blocks
 - *unreached*: **list of occupied blocks** (additional mark within the blocks)
 - *unscanned*: temporary list of unscanned blocks
 - *scanned*: temporary list of scanned blocks
- Cost: $O(\text{number of reachable blocks})$

Bakers Mark and Sweep Collector

```
scanned :=  $\emptyset$ 
unscanned := blocks in RootSet
WHILE unscanned  $\neq \emptyset$  DO
  WITH b  $\in$  unscanned DO
    unscanned := unscanned  $\setminus$  b
    scanned := scanned  $\cup$  {b}
    FOR EACH b' referenced from b DO
      IF b'  $\in$  unreachable THEN
        unreachable := unreachable  $\setminus$  b'
        unscanned := unscanned  $\cup$  {b'}
      END IF
    END FOR
  END WITH
END WHILE
free := free  $\cup$  unreachable
unreached := scanned
```

- A storage block is *reachable* if the stack or a reachable heap blocks contain numbers that *might be* heap addresses
- Works without information about the structure of data on stack and heap
- Compactification is not possible
- May miss to de-allocate some blocks

- Structure information is used to identify references on the stack and within heap blocks
- Only these are checked for reachability
- Language must be *type-safe*
 - References always point to compatible objects

Cheney's Mark and Sweep Collector

- Blocks are copied from one heap to a second one, and back
- Drawback: Halves the storage capacity
- Cost $O(\text{size of reachable blocks} + \text{number of heap})$

```
METHOD lookupNewAddr(b) IS
  IF b.newAddr = NULL THEN
    b.newAddr := free
    free := free + b.size
    Copyb to b.newAddr
  END IF
  RETURN b.newAddr
END METHOD
```

```
FOR EACH b ∈ Heap DO
  b.newAddr := NULL
END FOR
```

```
free := start address of target heap
FOR EACH b ∈ RootSetDO
  b := lookupNewAddr(b)
END FOR
b := start address of target heap
WHILE b < free DO
  FOR EACH Reference b.r aus b DO
    b.r := lookupNewAddr(b.r)
  END FOR
  b := b + b.size
END WHILE
```

Mark and Compact Collector

- Determine reachable blocks (as with *Mark and Sweep*)
- Allocate new addresses
- Correct references
- Copy blocks
- Cost: $O(\text{size of reachable blocks} + \text{number of heap blocks})$

```
free := start address of heap
FOR EACH b ∈ Heap (ascending) DO
  IF b.reached THEN
    b.newAddr := free
    free := free + b.size
  END IF
END FOR
```

```
FOR EACH b ∈ RootSetDO
  b := b.newAddr;
END FOR
```

```
FOR EACH b ∈ Heap (ascending) DO
  IF b.reached THEN
    FOR EACH reference b.r aus b
      DO b.r := b.r.newAddr
    END FOR
  END IF
END FOR
```

```
FOR EACH b ∈ Heap (ascending) DO
  IF b.reached THEN
    Copy b to b.newAddr
  END IF
END FOR
```