## Logik für Informatiker Logic for computer scientists

Model theory

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## First-order structures: motivation

- How to make the notion of logical consequence more formal?
- For propositional logic: truth tables $\Rightarrow$ tautological consequence
- For first-order logic, we need also to interpret quantifiers and identity
- The notion of first-order structure models Tarski's world situations and real-world situations using set theory


## Example



## First-order structures: definition

A first-order structure $\mathfrak{M}$ consists of:

- a nonempty set $D^{\mathfrak{M}}$, the domain of discourse;
- for each $n$-ary predicate $P$ of the language,
a set $\mathfrak{M}(P)$ of $n$-tuples $\left\langle x_{1}, \ldots, x_{n}\right\rangle$ of elements of $D^{\mathfrak{M}}$, called the extension of $P$.
The extension of the identity symbol = must be $\left\{\langle x, x\rangle \mid x \in D^{\mathfrak{M}}\right\} ;$
- for any name (individual constant) $c$ of the language, an element $\mathfrak{M}(c)$ of $D^{\mathfrak{M}}$.


## Example



## An interpretation according to Tarski's World

Assume the language consists of the predicates Cube,
Dodec and Larger and the names $a, b$ and $c$.

- $D^{\mathfrak{M}}=\{$ Cube1, Cube2, Dodec 1$\}$;
- $\mathfrak{M}($ Cube $)=\{$ Cube1, Cube2 $\}$;
- $\mathfrak{M}($ Dodec $)=\{$ Dodec 1$\}$;
- $\mathfrak{M}($ Larger $)=\{($ Cube 1, Cube 2$),($ Cube 1, Dodec 1$)\}$;
- $\mathfrak{M}(=)=$
\{(Cube1, Cube1), (Cube2, Cube2), (Dodec1, Dodec1) \};
- $\mathfrak{M}(a)=$ Cube $1 ; \quad \mathfrak{M}(b)=$ Cube $2 ; \quad \mathfrak{M}(c)=$ Dodec 1.


## An interpretation not conformant with Tarski's World

- $D^{\mathfrak{M}}=\{$ Cube1, Cube2, Dodec1 $\}$;
- $\mathfrak{M}($ Cube $)=\{$ Dodec1, Cube2 $\}$;
- $\mathfrak{M}($ Dodec $)=\emptyset$;
- $\mathfrak{M}($ Larger $)=\{($ Cube 1, Cube 1$),($ Dodec 1, Cube 2$)\}$;
- $\mathfrak{M}(=)=$
$\{(C u b e 1$, Cube1), (Cube2, Cube2), (Dodec1, Dodec1) $\}$;
- $\mathfrak{M}(a)=$ Cube $1 ; \mathfrak{M}(b)=$ Cube2; $\mathfrak{M}(c)=$ Dodec 1 .


## Variable assignments

A variable assignment in $\mathfrak{M}$ is a (possibly partial) function $g$ defined on a set of variables and taking values in $D^{\mathfrak{M}}$.

Given a well-formed formula $P$, we say that the variable assignment $g$ is appropriate for $P$ if all the free variables of $P$ are in the domain of $g$, that is, if $g$ assigns objects to each free variable of $P$.

## Examples

$D^{\mathfrak{M}}=\{C u b e 1, C u b e 2, D o d e c 1\}$
$g_{1}$ assignes Cube1, Cube 2, Dodec 1 to the variables $x, y, z$, respectively.
$g_{1}$ is appropriate for $\operatorname{Between}(x, y, z) \wedge \exists u(\operatorname{Large}(u))$, but not for $\operatorname{Larger}(x, v)$.
$g_{2}$ is the empty assignment.
$g_{2}$ is only appropriate for well-formed formulas without free variables (that is, for sentences).

## Variants of variable assignments

If $g$ is a variable assignment, $g[v / b]$ is the variable assignment

- whose domain is that of $g$ plus the variable $v$, and
- which assigns the same values as $g$, except that
- it assigns $b$ to the variable $v$.

$$
\llbracket t \rrbracket_{g}^{\mathfrak{N}}
$$

- $\mathfrak{M}(t)$ if $t$ is an individual constant, and
- $g(t)$ if $t$ is a variable.


## Satisfaction (A. Tarski)

1. $\mathfrak{M} \models R\left(t_{1}, \ldots, t_{n}\right)[g]$ iff $\left\langle\left[t_{1}\right]_{g}^{\mathfrak{M}}, \ldots,\left[t_{n}\right]_{g}^{\mathfrak{M}}\right\rangle \in \mathfrak{M}(R)$;
2. $\mathfrak{M} \models \neg P[g]$ iff it is not the case that $\mathfrak{M} \models P[g]$;
3. $\mathfrak{M} \models P \wedge Q[g]$ iff both $\mathfrak{M} \models P[g]$ and $\mathfrak{M} \models Q[g]$;
4. $\mathfrak{M} \models P \vee Q[g]$ iff $\mathfrak{M} \models P[g]$ or $\mathfrak{M} \models Q[g]$ or both;
5. $\mathfrak{M} \models P \rightarrow Q[g]$ iff not $\mathfrak{M} \models P[g]$ or $\mathfrak{M} \models Q[g]$ or both;
6. $\mathfrak{M} \models P \leftrightarrow Q[g]$ iff $(\mathfrak{M} \models P[g]$ iff $\mathfrak{M} \models Q[g])$;
7. $\mathfrak{M} \models \forall x P[g]$ iff for every $d \in D^{\mathfrak{M}}, \mathfrak{M} \models P[g[x / d]]$;
8. $\mathfrak{M} \models \exists x P[g]$ iff for some $d \in D^{\mathfrak{M}}, \mathfrak{M} \models P[g[x / d]]$.

## Satisfaction, cont'd

Additionally,

- never $\mathfrak{M} \models \perp[g]$;
- always $\mathfrak{M} \models \top[g]$.

A structure $\mathfrak{M}$ satisfies a sentence $P$,

$$
\mathfrak{M} \models P,
$$

if $\mathfrak{M} \models P\left[g_{\emptyset}\right]$ for the empty assignment $g_{\emptyset}$.

## Example

$$
D^{\mathfrak{M}}=\{a, b, c\}
$$

$$
\mathfrak{M}(l i k e s)=\{\langle a, a\rangle,\langle a, b\rangle,\langle c, a\rangle\}
$$

$$
\begin{gathered}
\mathfrak{M} \vDash \exists x \exists y(\operatorname{Likes}(x, y) \wedge \neg \operatorname{Likes}(y, y)) \\
\mathfrak{M}
\end{gathered}=\neg \forall x \exists y(\operatorname{Likes}(x, y) \wedge \neg \operatorname{Likes}(y, y)) \text { }
$$

## Satisfaction invariance

Proposition Let $\mathfrak{M}_{1}$ and $\mathfrak{M}_{2}$ be structures which have the same domain and assign the same interpretations to the predicates and constant symbols in $P$. Let $g_{1}$ and $g_{2}$ be variable assignments that assign the same objects to the free variables in $P$. Then

$$
\mathfrak{M}_{1} \models P\left[g_{1}\right] \text { iff } \mathfrak{M}_{2} \models P\left[g_{2}\right]
$$

## First-order validity and consequence

A sentence $P$ is a first-order consequence of a set $\mathcal{T}$ of sentences if and only if every structure that satisfies all the sentences in $\mathcal{T}$ also satisfies $P$.
A sentence $P$ is a first-order validity if and only if every structure satisfies $P$.
A set $\mathcal{T}$ of sentences is called first-order satisfiable, if there is a structure satisfies each sentence in $\mathcal{T}$.

## Soundness of $\mathcal{F}$ for FOL

Theorem If $\mathcal{T} \vdash S$, then $S$ is a first-order consequence of $\mathcal{T}$.

Proof: By induction over the derivation, we show that any sentence occurring in a proof is a first-order consequence of the assumption in force in that step.
An assumption is in force in a step if it is an assumption of the current subproof or an assumption of a higher-level proof.

## Completeness of the shape axioms

## The basic shape axioms

1. $\neg \exists x(C u b e(x) \wedge T e t(x))$
2. $\neg \exists x(\operatorname{Tet}(x) \wedge \operatorname{Dodec}(x))$
3. $\neg \exists x(\operatorname{Dodec}(x) \wedge C u b e(x))$
4. $\forall x(\operatorname{Tet}(x) \vee \operatorname{Dodec}(x) \vee C u b e(x))$

## SameShape introduction and elimination axioms

1. $\forall x \forall y((C u b e(x) \wedge C u b e(y)) \rightarrow \operatorname{SameShape}(x, y))$
2. $\forall x \forall y((\operatorname{Dodec}(x) \wedge \operatorname{Dodec}(y)) \rightarrow \operatorname{SameShape}(x, y))$
3. $\forall x \forall y((\operatorname{Tet}(x) \wedge \operatorname{Tet}(y)) \rightarrow \operatorname{SameShape}(x, y))$
4. $\forall x \forall y((\operatorname{SameShape}(x, y) \wedge \operatorname{Cube}(x)) \rightarrow C u b e(y))$
5. $\forall x \forall y((\operatorname{SameShape}(x, y) \wedge \operatorname{Dodec}(x)) \rightarrow \operatorname{Dodec}(y))$
6. $\forall x \forall y((\operatorname{SameShape}(x, y) \wedge \operatorname{Tet}(x)) \rightarrow \operatorname{Tet}(y))$

## Completeness of the shape axioms

Two structures are isomorphic if there is a bijectiom between their domains, which is compatible with extensions of predicate and interpretation of constants.
Assume the language Cube, Tet, Dodec, SameShape
Lemma For any structure satisfying the shape axioms, there is an isomorphic Tarski's world structure.
Theorem Let $S$ be a sentence.
If $S$ is a Tarski's world logical consequence of $\mathcal{T}$, then
$S$ is a first-order consequence of $\mathcal{T}$ plus the shape axioms.

## Exercises

chapter 18, 18.1-18.19

