

# **A Formal Introduction to Model-Based Testing Part I: Exhaustive Testing Methods**

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## Why will testing remain a crucial verification and validation activity ?

- ▶ Simple answer: because standards for safety-critical systems development will never allow certification without testing
- ▶ More elaborate answers:
  - ▶ Complex HW/SW systems cannot be captured in a completely formal way – therefore at least **HW/SW integration and system integration testing** will remain important for system verification
  - ▶ Software testing plays an increasingly important role for the verification of automatic code generators
  - ▶ 100% software correctness is not always the main issue, because
    - ▶ 100% software correctness does not imply system safety (recall Leveson: “ *Safety is an emergent property*”)
    - ▶ Systems containing software bugs can still be safe

## Model-based equivalence testing ...

... is a variant of **exhaustive testing**:

- ▶ The goal of the test suite is to establish an **equivalence relation** between specification model and implementation
- ▶ Typical equivalence relations are
  - ▶ **Bi-similarity**
  - ▶ **Failures equivalence**
- ▶ From a practical point of view, proof of **refinement properties** by means of exhaustive testing is often more relevant than equivalence testing

## Model-based equivalence testing versus model checking

- ▶ White-box equivalence testing identical to model (equivalence) checking
- ▶ Grey-box equivalence testing differs from model checking:
  - ▶ The implementation model is only partially known, e. g., the maximal number of states and the interface latency of the implementation
- ▶ Black-box equivalence testing is impossible, due to the **time-bomb problem**: The SUT may behave properly for an unknown number of execution loops and fail after some hidden state condition (e. g., a counter overflow) arises
- ▶ In principle, all tests could be assumed to be grey box, since hardware limitations always impose a finite state system. This limit, however, will be so large that no practical application of equivalence testing is feasible.

## Chow's Theorem (1)

- ▶ Tsun S. Chow. Testing Software Design Modeled by Finite-State Machines. *IEEE Transactions on Software Engineering* SW-4, No. 3, pp. 178-187(1978).
- ▶ Equivalence testing for deterministic Mealy automata
- ▶ One of the first contributions showing that equivalence proof by grey-box testing is possible with a **finite number of test cases**
- ▶ The test case construction method according to Chow is also called **W-Method**
- ▶ For a more detailed error classification extending the examples below see Chow's paper and Robert. V. Binder: *Testing Object-Oriented Systems*. Addison Wesley (1999).

## Chow's Theorem (2): Pre-requisites

- ▶  $A$  and  $B$  are Mealy automata over the same alphabet  $\Sigma = I \cup O$
- ▶  $I$  contains input symbols,  $O$  output symbols
- ▶ Transition functions  
 $\delta_A : Q(A) \times I \rightarrow Q(A) \times O$  and  $\delta_B : Q(B) \times I \rightarrow Q(B) \times O$   
 are total functions
- ▶ For  $\delta(q_1, x) = (q_2, y)$  we also write  $q_1 \xrightarrow{x/y} q_2$ .
- ▶ If input sequence  $p = \langle x_1, \dots, x_k \rangle$  leads from state  $q_1$  to final state  $q_2$ , we write  $q_1 \xrightarrow{p} q_2$ .
- ▶ We require  $A$  and  $B$  to be minimal (this simplifies the proof, but is not essential)
- ▶  $A$  is used as the **model**,  $B$  as the **implementation**.

## Chow's Theorem (3): Pre-requisites

- ▶ The set of states  $Q(A)$  has cardinality  $n$ ,  $\text{card}(Q(B)) = m$
- ▶ Initial states:  $q_A, q_B$ .
- ▶ **Test cases** are input traces  $p \in I^*$ .
- ▶ The specification automaton  $A$  serves as **test oracle**: The generated input trace, when exercised on  $B$ , leads to an output trace which can be observed, and the resulting I/O-trace  $u \in \Sigma^*$  can be automatically checked against  $A$ , whether it is a word of  $\mathcal{L}(A)$
- ▶  $P \subseteq I^*$  is called **transition cover** of  $A$ , if:

$$\forall q_1 \xrightarrow{x/y} q_2 \in \delta_A : \exists p \in P : q_A \xrightarrow{p} q_1 \wedge p \frown \langle x \rangle \in P$$

## Chow's Theorem (4): Pre-requisites

- ▶  $W \subseteq I^*$  is called **characterisation set** of  $A$  if for all  $q_1, q_2 \in Q(A)$ , there exists a  $w \in W$  **distinguishing**  $q_1$  and  $q_2$ , i. e.:  $w$  applied to  $q_1$  results in an output trace which differs from the one resulting from application of  $w$  to  $q_2$ .
- ▶ Define  $X^n = \{p \in I^* \mid \#p = n\}$  for  $n \geq 0$ .
- ▶ Define  $U_1 \cdot U_2 = \{u_1 \frown u_2 \mid u_i \in U_i, i = 1, 2\}$  for  $U_1, U_2 \subseteq I^*$ .
- ▶ Define  $\mathcal{W}(A)$ , the set of **W-test cases** of  $A$  by

$$\mathcal{W}(A) = P \cdot \left( \bigcup_{i=0}^{m-n} (X^i \cdot W) \right)$$



## Chow's Theorem (5)

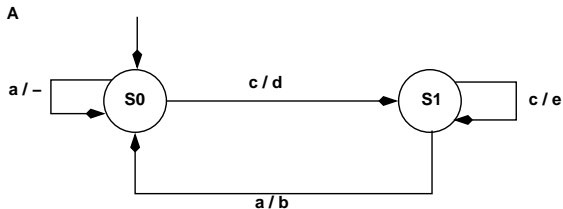
**Chows Theorem** If  $B$  passes all  $W$ -test cases from  $\mathcal{W}(A)$  then  $A$  and  $B$  are bi-similar (written  $A \approx B$ ).

### Remarks.

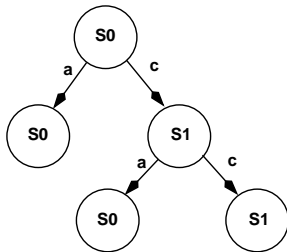
- ▶ “Passing a test case from  $\mathcal{W}(A)$ ” means to generate the same outputs as  $A$  for every input sequence  $w \in \mathcal{W}(A)$
- ▶ Bi-similarity for finite deterministic Mealy automata just means language equivalence.
- ▶ Bi-similarity of minimal Mealy automata is equivalent to the existence of an **isomorphism**  $f : A \rightarrow B$ :  $f$  is bijective and satisfies  $f(q_A) = f(q_B)$  and

$$\forall q_1, q_2 \in Q(A) : q_1 \xrightarrow{x/y} q_2 \implies f(q_1) \xrightarrow{x/y} f(q_2)$$

## Chow's Theorem (5b) – Illustration



Transition cover P



Characterisation set W

$$W = \{ c \}$$

Assume  $\text{card}(Q(B)) \leq \text{card}(Q(A))+1$

$$X^1 = \{ a, c \}$$

$$P = \{ \langle \rangle, a, c, ca, cc \}$$

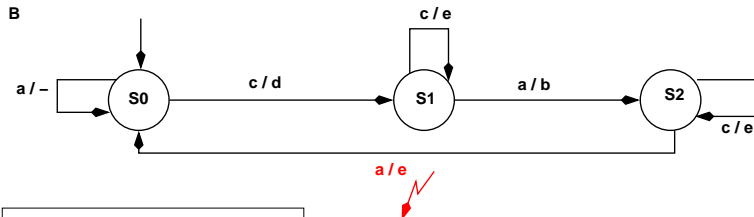
Test Cases:

$P X^0 W$     c ac cc cac ccc

$P X^1 W$ :    ac aac cac caac ccac

$P X^1 W$ :    cc acc ccc cacc cccc

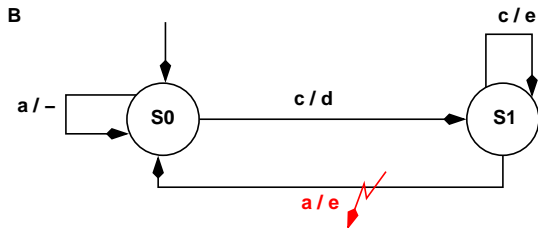
## Chow's Theorem (5c) – Illustration: Time Bomb



	Test Cases:
$PX^0W$	c ac cc cac ccc
$PX^1W$	ac aac cac caac ccac
$PX^1W$	cc acc ccc cacc cccc

Failure is found by caac  
(last c input not needed to uncover failure)

## Chow's Theorem (5d) – Illustration: Output failure

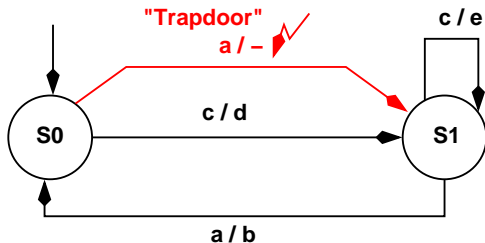


	Test Cases:
$PX^0W$	c ac cc cac ccc
$PX^1W$ :	ac aac cac caac ccac
$PX^1W$ :	cc acc ccc cacc cccc

Failure is found by **ca(c)**  
 Only transition cover is required to uncover output failures

## Chow's Theorem (5e) – Illustration: Transition failure

B



$P X^0 W$   
 $P X^1 W$   
 $P X^1 W$

Test Cases:

c ac cc cac ccc  
 ac aac cac caac ccac  
 cc acc ccc cacc cccc

Failure is found by **ac**

## Chow's Theorem (6): Preparations for the proof

**Definition 1:** Let  $V \subseteq I^*$  a set of input traces

1. Two states  $q_i \in Q(A)$ ,  $q_j \in Q(B)$  are **V-equivalent** ( $q_i \sim_V q_j$ ), if each  $p \in V$  produces the same outputs when exercised from  $q_i$  as when exercised from  $q_j$ .
2. Automata  $A$  and  $B$  are **V-equivalent** ( $A \sim_V B$ ), if their initial states are V-equivalent, i. e.,  $q_A \sim_V q_B$

Obviously  $\sim_V$  is an equivalence relation on  $Q(A) \times Q(B)$

## Chow's Theorem (7): Proof

Obviously,

$$A \approx B \implies (\forall V \subseteq I^* : A \sim_V B)$$

holds for all bi-similar automata ( $A \approx B$ ). Therefore we can re-write Chow's theorem as

$$\text{Chow's Theorem – Variant 2: } A \sim_{\mathcal{W}(A)} B \implies A \approx B$$

The proof of variant 2 results from the lemmas below. We assume that  $A$  has  $n$  states and  $B$   $m \geq n$  states and that both are minimal. The characterisation set of  $A$  is denoted by  $W$ .

## Chow's Theorem (8): Proof

**Lemma 1:** Suppose characterisation set  $W$  of  $A$  partitions  $Q(B)$  into at least  $n$  equivalence classes. Then  $Z = \bigcup_{i=0}^{m-n} (X^i \cdot W)$  partitions  $Q(B)$  into  $m$  classes. This means that every two states  $Q(B)$  can be distinguished by  $\mathcal{W}(A)$

**Proof.:** Define  $Z(\ell) = \bigcup_{i=0}^{\ell} (X^i \cdot W)$ . Obviously  $Z(m-n) = Z$ . Perform induction proof for  $\ell = 0, 1, \dots, m-n$ :

$Z(\ell)$  partitions  $Q(B)$  into  $\ell + n$  classes (\*)

Choosing  $\ell = m - n$  implies the lemma.



## Chow's Theorem (9): Proof of Lemma 1

**Proof of (\*) – induction start:** For  $\ell = 0$  (\*) coincides with the assumptions of the lemma.

**Assumption:** For given  $\ell \in \{0, 1, \dots, m - n - 1\}$   $Z(\ell)$  partitions  $Q(B)$  into at least  $\ell + n$  classes

**Induction step:** We show that  $Z(\ell + 1)$  partitions  $Q(B)$  into at least  $\ell + n + 1$  classes

If  $Z(\ell)$  already partitions  $Q(B)$  into  $\ell + n + 1$  or more classes then we have nothing to prove. Otherwise there exists  $k > \ell$  such that (observe that  $Z(k) = Z(k - 1) \cup X^k \cdot W$ )

$$\exists r_1, r_2 \in Q(B) : r_1 \sim_{Z(k-1)} r_2 \wedge r_1 \not\sim_{(X^k \cdot W)} r_2$$

## Chow's Theorem (10): Proof of Lemma 1

If  $k = \ell + 1$  there is nothing more to show since  $(*)$  holds for  $Z(k) = Z(\ell + 1)$ .

Otherwise, if  $k \geq \ell + 2$ , let  $p = \langle x_1, \dots, x_k \rangle \cap w$ ,  $w \in W$  the input sequence distinguishing  $r_1$  and  $r_2$ .

Choose  $r'_1, r'_2$  such that  $r_1 \xrightarrow{\langle x_1, \dots, x_{k-\ell-1} \rangle} r'_1$ ,  $r_2 \xrightarrow{\langle x_1, \dots, x_{k-\ell-1} \rangle} r'_2$ . Then  $r'_1, r'_2$  can be distinguished by  $Z(\ell + 1)$ .  $\square$

## Chow's Theorem (11): Lemma 2

**Lemma 2:** Let  $Z = \bigcup_{i=0}^{m-n} (X^i \cdot W)$  as introduced in Lemma 1. Then  $A \approx B$  if and only if the following conditions are fulfilled

1. The initial states of  $A$  and  $B$  are  $Z$ -equivalent:  $q_A \sim_Z q_B$ .
2. For all  $a \in Q(A)$  exists  $b \in Q(B)$  such that  $a \sim_Z b$ .
3. For all  $a_i \xrightarrow{x/y} a_j$  in  $A$  exists  $b_i, b_j \in Q(B)$ , such that  $a_i \sim_Z b_i$ ,  $a_j \sim_Z b_j$  and  $b_i \xrightarrow{x/y} b_j$ .

## Chow's Theorem (12): Proof of Lemma 2

**Proof Step (a).** If  $A \approx B$ , then (1,2,3) are directly implied by the existence of an isomorphism  $f : Q(A) \rightarrow Q(B)$ .

**Proof Step (b).** Suppose (1,2,3) hold. We have to establish the existence of an isomorphism  $f : Q(A) \rightarrow Q(B)$ . To this end we will show that function  $f$  specified by

$$f(q_A) = q_B$$

$$(q_A \xrightarrow{\langle x_1, \dots, x_\ell \rangle} a \wedge q_B \xrightarrow{\langle x_1, \dots, x_\ell \rangle} b) \implies f(a) = b$$

is well-defined, one-one and surjective. Then (3) additionally implies that  $\forall a \in Q(A) : a \sim_Z f(a)$  holds, too.

## Chow's Theorem (13): Proof of Lemma 2

**Well-definedness of  $f$ .** It has to be shown that *different* input traces  $q_A \xrightarrow{\langle x_1, \dots, x_\ell \rangle} a$ ,  $q_A \xrightarrow{\langle x'_1, \dots, x'_k \rangle} a$ , leading to the same target state  $a$  in  $A$  will also lead to the same target state in  $B$ .

Therefore suppose  $q_B \xrightarrow{\langle x_1, \dots, x_\ell \rangle} b$  and  $q_B \xrightarrow{\langle x'_1, \dots, x'_k \rangle} b'$  in  $B$ . It has to be shown that  $b = b'$ .

Because of (3) we can conclude

$$a \sim_Z b \wedge a \sim_Z b' \quad (**)$$

We will now show that  $Z$  distinguishes every pair of states in  $B$ , so that  $(**)$  implies  $b = b'$ . This establishes well-definedness of  $f$ .

## Chow's Theorem (13): Proof of Lemma 2

**$Z$  distinguishes every pair of  $B$ -states.** The characterisation set  $W$  of  $A$  partitions  $Q(A)$  into  $n = \text{card}(Q(A))$  classes (since  $A$  is minimal).

Now (2) and (3) imply that  $W$  also partitions  $Q(B)$  into at least  $n$  classes: Suppose  $a_1$  and  $a_2$  are distinguished by  $w \in W$ . Suppose  $q_A \xrightarrow{\langle x_1, \dots, x_\ell \rangle} a_1$  and  $q_A \xrightarrow{\langle x'_1, \dots, x'_k \rangle} a_2$ . These two input traces will lead us according to (3) to states  $b_1, b_2 \in Q(B)$  such that  $a_i \sim_Z b_i, i = 1, 2$ .

## Chow's Theorem (14): Proof of Lemma 2

Because of (3) and  $W \subseteq Z$ , sequence  $b_1 \xrightarrow{w}$  has to generate the same outputs as  $a_1 \xrightarrow{w}$  and  $b_2 \xrightarrow{w}$  the same outputs as  $a_2 \xrightarrow{w}$ . Since  $w$  produces different outputs when applied to  $a_1$  and  $a_2$ , respectively, the same has to hold for  $b_1 \xrightarrow{w}$  and  $b_2 \xrightarrow{w}$ . Therefore  $w$  also distinguishes  $b_1$  and  $b_2$ , and therefore  $b_1 \neq b_2$ . Since  $W \subseteq Z$  and since  $W$  partitions  $Q(B)$  into at least  $n$  classes, we can apply Lemma 1 to conclude that  $Z$  distinguishes *all* states of  $B$ . Let  $b \in Q(B)$ , then  $b \sim_Z b'$  implies  $b = b'$  which shows well-definedness of  $f$ .

## Chow's Theorem (15): Proof of Lemma 2

**$f$  is one-one.** Let  $a_i \in Q(A)$ ,  $i = 1, 2$ ,  $a_1 \neq a_2$  and  $b_i = f(a_i) \in Q(B)$ . We have to show that  $b_1 \neq b_2$ . Since  $a_1 \not\sim_W a_2$  and  $W \subseteq Z$  we conclude  $a_1 \not\sim_Z a_2$ . (3) implies  $a_i \sim_Z f(a_i) = b_i$ ,  $i = 1, 2$  and therefore  $b_1 \not\sim_Z b_2$ , and therefore also  $b_1 \neq b_2$ .



## Chow's Theorem (16): Proof of Lemma 2

**$f$  is surjective.** Given  $b \in Q(B)$  and an input sequence  $q_B \xrightarrow{\langle x_1, \dots, x_\ell \rangle} b$ . Since  $A$  and  $B$  are deterministic, the target states  $b \in Q(B)$ ,  $a \in Q(A)$  are uniquely determined by  $q_B \xrightarrow{\langle x_1, \dots, x_\ell \rangle} b$  and  $q_A \xrightarrow{\langle x_1, \dots, x_\ell \rangle} a$ . Since we already know that that  $f$  is well-defined this implies  $f(a) = b$ .  $\square$

## Chow's Theorem (17): Lemma 3

**Lemma 3:** Let  $\mathcal{W}(A) = P \cdot Z$ , where  $P$  is the transition cover of  $A$  and  $Z = \bigcup_{i=0}^{m-n} (X^i \cdot W)$ . Then  $A \sim_{\mathcal{W}(A)} B$  if and only if

1. The initial states of  $A$  and  $B$  are  $Z$ -equivalent:  $q_A \sim_Z q_B$ .
2. For all  $a \in Q(A)$  exists  $b \in Q(B)$  such that  $a \sim_Z b$ .
3. For all  $a_i \xrightarrow{x/y} a_j$  in  $A$  exists  $b_i, b_j \in Q(B)$ , such that  $a_i \sim_Z b_i$ ,  $a_j \sim_Z b_j$  and  $b_i \xrightarrow{x/y} b_j$ .

**Observation.** Since (1,2,3) are identical with the only-if condition of Lemma 2, and therefore imply  $A \approx B$ , Lemma 3 directly implies Chow's theorem, variant 2, because with Lemma 3

$$A \sim_{P \cdot Z} B \Leftrightarrow A \approx B$$

holds.

## Chow's Theorem (18): Proof of Lemma 3

**Proof of Lemma 3 – (a).** Suppose (1,2,3) hold. Then Lemma 2 implies  $A \approx B$  and this trivially implies  $A \sim_{\mathcal{W}(A)} B$ .

**Proof of Lemma 3 – (b).** Suppose  $A \sim_{P.Z} B$ . Given  $a \in Q(A)$  and input sequence  $p \in P$  with  $q_A \xrightarrow{p} a$ . This sequence  $p$  exists because  $P$  is a transition cover. Since  $A$  and  $B$  are deterministic  $b$  is uniquely determined by  $q_B \xrightarrow{\langle x_1, \dots, x_\ell \rangle} b$ . Since  $q_A \sim_{P.Z} q_B$  and  $p \in P$ ,  $a \sim_Z b$  follows, and this shows (2) and (3) (observe that  $\langle \rangle \in P$ ).

## Chow's Theorem (19): Proof of Lemma 3

Let  $a_1 \xrightarrow{x/y} a_2$  a transition in  $A$ . Let  $p \in P$  with  $q_A \xrightarrow{p} a_1$ . Since  $P$  is a transition cover,  $p$  exists and also  $p \frown \langle x \rangle \in P$ . Define

$b_1, b_2 \in Q(B)$  uniquely by  $q_B \xrightarrow{p} b_1$  and  $q_B \xrightarrow{p \frown \langle x \rangle} b_2$ .

Now  $A \sim_{P,Z} B$  implies  $a_i \sim_Z b_i, i = 1, 2$ . In addition, transition

$b_1 \xrightarrow{x/y'} b_2$  has to satisfy  $y' = y$ , because otherwise  $a_1$  and  $b_1$  could be distinguished by input  $x$ , and this would be a contradiction to

$a_1 \sim_Z b_1$ . □

## Chow's Theorem (20): BFS-Algorithm for Transition Cover Construction

Overview over the algorithm presented on the next slide by function  $tc$ :

- ▶ Breadth-first search (BFS) over deterministic finite (Mealy) automaton (DFA)  $A$
- ▶  $tc$  returns set of input traces representing the transition cover
- ▶  $\alpha$  is the “usual” queue used in BFS-algorithms
- ▶  $N \subseteq Q(A)$  is an auxiliary subset of  $A$ -states which should not be inserted into queue  $\alpha$  anymore.
- ▶  $\tau$  maps states  $q$  from where the transition graph of  $A$  should be further explored to the previously constructed input trace leading from  $q_A$  to  $q$ .

## Chow's Theorem (21): Transition Cover Construction

```

function  $tc$ (in  $A : DFA$ ) :  $\mathbb{P}(I^*)$ 
begin
   $tc := \{\langle \rangle\}$ ;  $\alpha := \langle q_A \rangle$ ;  $N := \{q_A\}$ ;  $\tau := \{q_A \mapsto \langle \rangle\}$ ;
  while  $0 < \#\alpha$  do
     $u = head(\alpha)$ ;
    foreach  $x \in I$  do
       $q := \delta_A(u, x)$ ;
       $tc := tc \cup \{\tau(u) \frown \langle x \rangle\}$ ;
      if  $q \notin N$  then
         $N := N \cup \{q\}$ ;
         $\tau := \tau \oplus \{q \mapsto \tau(u) \frown \langle x \rangle\}$ ;
         $\alpha := \alpha \frown \langle q \rangle$ ;
      endif
    enddo
     $\alpha := tail(\alpha)$ ;
  enddo
end
  
```

## Chow's Theorem (22): Characterisation set construction

- ▶ Characterisation set  $W$  can be generated as a “by-product” of the standard procedure for constructing a minimal DFA  $A$  for given DFA  $A'$
- ▶ Using a minimal DFA as specification model is not necessary, but desirable for the  $W$ -method application, since this keeps the size of the transition cover as small as possible.
- ▶ Therefore, given possibly non-minimal DFA  $A'$ , we simultaneously reduce  $A'$  to its minimal DFA  $A$  and construct  $W$ .
- ▶ It is reasonable to assume that
  - ▶  $A'$  does not contain any unreachable states  $q$
  - ▶  $A'$  has no accepting state (since as a reactive system it should not terminate)

## Chow's Theorem (23): Characterisation set construction

### Notation:

- ▶  $\omega_A : Q(A) \times I \longrightarrow O$ ;  $\omega_A(q, x) = y \Leftrightarrow (\exists q' \in Q(A) : \delta_A(q, x) = (q', y))$  maps *(Source state, Input)* to the associated output  $y$ . In other words,  $\omega_A = \pi_2 \circ \delta_A$ .
- ▶  $\lambda_A : Q(A) \times I \longrightarrow Q(A)$ ;  $\lambda_A(q, x) = q' \Leftrightarrow (\exists y \in O : \delta_A(q, x) = (q', y))$  maps *(Source state, Input)* to the associated target state  $q'$ , that is,  $\lambda_A = \pi_1 \circ \delta_A$ .
- ▶ We suppose that all states  $q, q' \in Q(A)$  are uniquely numbered, so that a relation  $< \subseteq Q(A) \times Q(A)$  is well-defined and  $q \neq q'$  either implies  $q < q'$  or  $q' < q$ .



## Chow's Theorem (24): Characterisation set construction

### Notation (continued):

- Specification

$$od : Q(A) \times Q(A) \not\rightarrow Q(A) \times Q(A)$$

$$od(q, q') = \begin{cases} (q, q') & \text{falls } q < q' \\ (q', q) & \text{falls } q' < q \end{cases}$$

defines a map on pairs  $(q, q') \in Q(A) \times Q(A)$  which sorts pairwise distinct states according to their  $<$ -order.

- For input traces  $w, w' \in I^*$  we write  $w < w'$ , if  $w$  is a true prefix of  $w'$
- $\beta : Q(A) \times Q(A) \not\rightarrow I^*$  is defined as a function mapping distinguishable states  $(q, q') \in Q(A) \times Q(A)$  to non-empty input traces revealing this distinction by producing different outputs when exercised on  $q$  and  $q'$ .

## Chow's Theorem (25): Characterisation set construction

```

procedure  $W(\text{inout } A : \text{DFA}, \text{inout } W : \mathbb{P}(I^*))$ 
begin
   $D : \mathbb{P}(Q(A) \times Q(A));$  // Ordered distinguishable state pairs
   $\beta : Q(A) \times Q(A) \not\rightarrow I^*;$  // Map elements from  $D$  to input trace
   $D := \{\}; \beta := \{\};$ 
  // Initialisation: Insert all ordered pairs of states into  $D$ 
  // which can be distinguished by a single input
   $\text{distinguishedByOne}(A, D, \beta);$ 
  // Identify all distinguishable state pairs, while constructing  $W$ 
   $\text{generate}W(A, D, \beta, W);$ 
  // Optionally, reduce the DFA
   $\text{reduce}A(A, D, \beta);$ 
end
  
```

## Chow's Theorem (26): Characterisation set construction

```

procedure distinguishedByOne(in  $A$  : DFA,
                               inout  $D : \mathbb{P}(Q(A) \times Q(A))$ ,
                               inout  $\beta : Q(A) \times Q(A) \not\rightarrow I^*$ )
begin
  foreach  $p < q \in Q(A) \times Q(A)$  do
    foreach  $x \in I$  do
      if  $\omega_A(p, x) \neq \omega_A(q, x)$  then
         $D := D \cup \{(p, q)\}$ ;
         $\beta := \beta \oplus \{(p, q) \mapsto \langle x \rangle\}$ ;
      endif
    enddo
  enddo
end
  
```

# Chow's Theorem (27): Characterisation set construction

```

procedure generateW(in A : DFA,
                    inout D :  $\mathbb{P}(Q(A) \times Q(A))$ ,
                    inout  $\beta$  :  $Q(A) \times Q(A) \not\rightarrow I^*$ ,
                    out W :  $\mathbb{P}(I^*)$ )
begin
  b : bool; b := false;
  do
    foreach  $p < q \in (Q(A) \times Q(A)) - D$  do
      foreach  $x \in I$  do
        v :=  $\lambda_A(p, x)$ ; z :=  $\lambda_A(q, x)$ ;
        if  $od(v, z) \in D$  then
          b := true;
          w :=  $\langle x \rangle \frown \beta(od(v, z))$ ;
          //Remove traces which are prefixes of the new (longer) one
          foreach  $(p', q') \in D$  do
            if  $\beta(p', q') < w$  then
               $\beta := \beta \oplus \{(p', q') \mapsto w\}$ ;
            endif
          enddo
           $\beta := \beta \oplus \{(p, q) \mapsto w\}$ ;
          D :=  $D \cup \{(p, q)\}$ ;
        endif
      while b;
      W :=  $ran(\beta)$ ;
    end
  
```

## Chow's Theorem (27): Characterisation set construction

```

procedure reduceA(inout A : DFA,
                  inout D :  $\mathbb{P}(Q(A) \times Q(A))$ )
begin
  Ar : DFA;
  // Definition of equivalence classes:
  // [p] = {q ∈ Q(A) | od(p, q) ∉ D}
  // States of the minimised DFA are equivalence classes,
  // each class represented by a state p of A which is
  // member of a distinguishable pair (p, q) or (q, p) in D.
  Q(Ar) := {[p] | ∃q ∈ Q(A) : od(p, q) ∈ D};
  qAr := [qA];
  δAr := {([p], x) ↦ ([λA(p, x)], ωA(p, x)) | (p, x) ∈ QA × I};
  // Well-definedness of δAr follows from properties of
  // equivalence classes [p].
  A := Ar;
end
  
```

## Similar results for other formalisms – overview

- ▶ Hennessy and deNicola showed that refinement properties can be established by (possibly infinite) number of tests for CCS-like process algebras
- ▶ Brinksma and Tretmans produced similar results for conformance testing against Lotos models
- ▶ Peleska and Siegel provided solutions for testing against CSP models
- ▶ Vandraager et. al. extended Chow's theorem to timed automata

## Conclusion of Part I

- ▶ Equivalence or refinement proofs by means of exhaustive grey-box testing are possible for untimed and timed automata and process algebras with synchronous (blocking) communication
- ▶ Exhaustive testing has exponential complexity in the number of states
- ▶ Apart from the complexity problem, the results presented here do not handle the problem of complex data structures and guard conditions: The state space has to be unfolded completely in order to apply the algorithms in a direct way.

**The next part of the tutorial shows how to cope with this problem**