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Semantics of Programming Languages

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Semantics in the Development Process





Semantics — what does that mean?

" Semantics: The meaning of words, phrases or systems. "

— Oxford Learner's Dictionaries

- In mathematics and computer science, semantics is giving a meaning in mathematical terms. It can be contrasted with syntax, which specifies the notation.
- Here, we will talk about the meaning of programs. Their syntax is described by formal grammars, and their semantics in terms of mathematical structures.
- Why would we want to do that?



Why Semantics?

Semantics describes the meaning of a program (written in a programming language) in mathematical precise and unambiguous way. Here are three reasons why this is a good idea:

- It lets us write better compilers. In particular, it makes the language independent of a particular compiler implementation.
- If we know the precise meaning of a program, we know when it should produce a result and when not. In particular, we know which situations the program should avoid.
- Finally, it lets us reason about program correctness.

Empfohlene Literatur: Glynn Winskel. *The Formal Semantics of Programming Languages: An Introduction.* The MIT Press, 1993.



Semantics of Programming Languages

Historically, there are three ways to write down the semantics of a programming language:

- Operational semantics describes the meaning of a program by specifying how it executes on an abstract machine.
- Denotational semantics assigns each program to a partial function on the system state.
- Axiomatic semantics tries to give a meaning of a programming construct by giving proof rules. A prominent example of this is the Floyd-Hoare logic of previous lectures.



A Tale of Three Semantics



- Each semantics should be considered a view of the program.
- Importantly, all semantics should be equivalent. This means we have to put them into relation with each other, and show that they agree. Doing so is an important sanity check for the semantics.
- In the particular case of axiomatic semantics (Floyd-Hoare logic), it is the question of correctness of the rules.



Operational Semantics

- Evaluation is directed by the syntax.
- ► We inductively define relations → between configurations (a command or expression together with a state) to an integer, boolean or a state:

$$\begin{array}{l} \rightarrow_{\mathcal{A}} \subseteq (\mathsf{AExp}, \Sigma) \times \mathbb{Z} \\ \rightarrow_{\mathcal{B}} \subseteq (\mathsf{BExp}, \Sigma) \times \textit{Bool} \\ \rightarrow_{\mathcal{S}} \subseteq (\mathsf{Com}, \Sigma) \times \Sigma \end{array}$$

where the system state is defined as as

$$\Sigma \stackrel{{}_{def}}{=} \mathbf{Loc} \rightharpoonup \mathbb{Z}$$

(p, σ) →_S σ' means that evaluating the program p in state σ results in state σ', and (a, σ) →_A i means evaluating expression a in state σ results in integer value i.



Structural Operational Semantics

The evaluation relation is defined by rules of the form

$$\frac{\langle \mathsf{a},\sigma\rangle \to_{\mathsf{A}} \mathsf{i}}{\langle \mathsf{p} \ \mathsf{a}_1,\sigma\rangle \to_{\mathsf{A}} \mathsf{f}(\mathsf{i})}$$

for each programming language construct p. This means that when the argument a of the construct has been evaluated, we can evaluate the whole expression.

- This is called structural operational semantics.
- Note that this does not specify an evaluation strategy.
- This evaluation is partial and can be non-deterministic.



IMP: Arithmetic Expressions

Numbers:	$\langle n,\sigma angle ightarrow_{\mathcal{A}}n$
Variables:	$\langle \mathtt{X},\sigma angle o_{\mathcal{A}} \sigma(\mathtt{X})$
Addition:	$\frac{\langle a_0, \sigma \rangle \to_A n \langle a_1, \sigma \rangle \to_A m}{\langle a_0 + a_1, \sigma \rangle \to_A n + m}$
Subtraction:	$\frac{\langle a_0, \sigma \rangle \to_{\mathcal{A}} n \langle a_1, \sigma \rangle \to_{\mathcal{A}} m}{\langle a_0 - a_1, \sigma \rangle \to_{\mathcal{A}} n - m}$
Multiplication:	$\frac{\langle \mathbf{a}_0, \sigma \rangle \to_A \mathbf{n} \langle \mathbf{a}_1, \sigma \rangle \to_A \mathbf{m}}{\langle \mathbf{a}_0 * \mathbf{a}_1, \sigma \rangle \to_A \mathbf{n} \cdot \mathbf{m}}$



IMP: Boolean Expressions (Constants, Relations)

$$\begin{array}{ll} \langle \mathsf{true}, \sigma \rangle \to_B \mathsf{True} & \langle \mathsf{false}, \sigma \rangle \to \mathsf{False} \\ \hline \frac{\langle b, \sigma \rangle \to_B \mathsf{False}}{\langle \mathsf{not} \ b, \sigma \rangle \to_B \mathsf{True}} & \frac{\langle b, \sigma \rangle \to_B \mathsf{True}}{\langle \mathsf{not} \ b, \sigma \rangle \to_B \mathsf{True}} \\ \hline \frac{\langle a_0, \sigma \rangle \to_A n \quad \langle a_1, \sigma \rangle \to_A m}{\langle a_0 = a_1, \sigma \rangle \to_B \mathsf{True}} \ n = m \quad \frac{\langle a_0, \sigma \rangle \to_A n \quad \langle a_1, \sigma \rangle \to_A m}{\langle a_0 = a_1, \sigma \rangle \to_B \mathsf{False}} \ n \neq m \\ \hline \frac{\langle a_0, \sigma \rangle \to_A n \quad \langle a_1, \sigma \rangle \to_A m}{\langle a_0 < a_1, \sigma \rangle \to_B \mathsf{True}} \ n < m \quad \frac{\langle a_0, \sigma \rangle \to_A n \quad \langle a_1, \sigma \rangle \to_A m}{\langle a_0 < a_1, \sigma \rangle \to_B \mathsf{False}} \ n \geq m \end{array}$$



IMP: Boolean Expressions (Operators)

$$\begin{array}{ll} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ and } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{True} \\ \hline \langle b_0 \textit{ and } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ and } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{True} & \langle b_1, \sigma \rangle \rightarrow_B \textit{True} \\ \hline \langle b_0 \textit{ and } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{True} & \langle b_1, \sigma \rangle \rightarrow_B \textit{True} \\ \hline \langle b_0 \textit{ and } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{True} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{True} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{True} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_1, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} \\ \hline \langle b_0 \textit{ or } b_1, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma \rangle \rightarrow_B \textit{False} & \frac{\langle b_0, \sigma$$

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IMP: Boolean Expressions (Operators — Variation)

$$\frac{\langle b_0, \sigma \rangle \to_B \text{False}}{\langle b_0 \text{ and } b_1, \sigma \rangle \to_B \text{False}}$$

$$\frac{\langle b_0, \sigma \rangle \to_B \text{ True } \langle b_1, \sigma \rangle \to_B \text{ False}}{\langle b_0 \text{ and } b_1, \sigma \rangle \to_B \text{ False}} \quad \frac{\langle b_0, \sigma \rangle \to_B \text{ True } \langle b_1, \sigma \rangle \to_B \text{ True}}{\langle b_0 \text{ and } b_1, \sigma \rangle \to_B \text{ True}}$$

$$\begin{array}{c} \langle b_0, \sigma \rangle \rightarrow_B \ True \\ \hline \langle b_0 \ \text{or} \ b_1, \sigma \rangle \rightarrow_B \ True \\ \hline \langle b_0, \sigma \rangle \rightarrow_B \ False \ \langle b_1, \sigma \rangle \rightarrow_B \ True \\ \hline \langle b_0 \ \text{or} \ b_1, \sigma \rangle \rightarrow_B \ True \\ \hline \langle b_0 \ \text{or} \ b_1, \sigma \rangle \rightarrow_B \ True \\ \hline \langle b_0 \ \text{or} \ b_1, \sigma \rangle \rightarrow_B \ False \\ \hline \langle b_0 \ \text{or} \ b_1, \sigma \rangle \rightarrow_B \ False \\ \hline \end{array}$$

What is the difference?



IMP: Boolean Expressions (Operators — Variation)

$$\begin{array}{ll} & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{False}}{\langle b_0 \textit{ and } b_1,\sigma\rangle \rightarrow_B \textit{False}} & \frac{\langle b_1,\sigma\rangle \rightarrow_B \textit{False}}{\langle b_0 \textit{ and } b_1,\sigma\rangle \rightarrow_B \textit{False}} \\ & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{True } \langle b_1,\sigma\rangle \rightarrow_B \textit{False}}{\langle b_0 \textit{ and } b_1,\sigma\rangle \rightarrow_B \textit{False}} & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{True } \langle b_1,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ and } b_1,\sigma\rangle \rightarrow_B \textit{True}} \\ & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} & \frac{\langle b_1,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} \\ & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{False } \langle b_1,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} \\ & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{False } \langle b_1,\sigma\rangle \rightarrow_B \textit{True}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} & \frac{\langle b_0,\sigma\rangle \rightarrow_B \textit{False } \langle b_1,\sigma\rangle \rightarrow_B \textit{False}}{\langle b_0 \textit{ or } b_1,\sigma\rangle \rightarrow_B \textit{True}} \end{array}$$

What is the difference?

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Why Denotational Semantics?

- Denotational semantics takes an abstract view of program: if c₁ ~ c₂, they have the "same meaning".
- This allows us, for example, to compare programs in different programming languages.
- It also accommodates reasoning about programs far better than operational semantics. In particular, we can prove the correctness of the Floyd-Hoare rules.
- It gives us compositionality and referential transparency, mapping programming language construct p to denotation φ:

$$\mathcal{D}\llbracket p(e_1,\ldots,e_n)\rrbracket = \phi(\mathcal{D}\llbracket e_1\rrbracket,\ldots,\mathcal{D}\llbracket e_n\rrbracket)$$



Denotational Semantics

- Programs are denoted by functions on states $\Sigma = \mathbf{Loc} \rightarrow \mathbb{Z}$.
- Semantic functions assign a meaning to statements and expressions:

Arithmetic expressions: $\mathcal{E} : \mathbf{AExp} \to (\Sigma \to \mathbb{Z})$ Statements:

Boolean expressions: $\mathcal{B} : \mathbf{BExp} \to (\Sigma \to Bool)$ $\mathcal{D}: \mathbf{Com} \to (\Sigma \rightharpoonup \Sigma)$

- ▶ Note the meaning of a program p is a partial function, reflecting the fact that programs may not terminate.
 - Our expressions always do, but that is because our language is quite simple.



Denotational Semantics of IMP: Arithmetic Expressions

$$\begin{array}{ccc} \mathcal{E}\llbracket n \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma.n \\ \mathcal{E}\llbracket X \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma.\sigma(X) \\ \mathcal{E}\llbracket a_0 + a_1 \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma.(\mathcal{E}\llbracket a_0 \rrbracket \sigma + \mathcal{E}\llbracket a_1 \rrbracket \sigma) \\ \mathcal{E}\llbracket a_0 - a_1 \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma.(\mathcal{E}\llbracket a_0 \rrbracket \sigma - \mathcal{E}\llbracket a_1 \rrbracket \sigma) \\ \mathcal{E}\llbracket a_0 * a_1 \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma.(\mathcal{E}\llbracket a_0 \rrbracket \sigma \cdot \mathcal{E}\llbracket a_1 \rrbracket \sigma) \end{array}$$



Denotational Semantics of IMP: Boolean Expressions

$$\begin{array}{lll} \mathcal{B}\llbracket \mathbf{true} \rrbracket &\stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \textit{True} \\ \mathcal{B}\llbracket \mathbf{false} \rrbracket &\stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \textit{False} \\ \mathcal{B}\llbracket \mathbf{not} & b \rrbracket &\stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \textit{False} \\ \mathcal{B}\llbracket \mathbf{a}_0 = \mathbf{a}_1 \rrbracket &\stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \\ \begin{cases} \ \textit{True} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma = \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma \neq \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma < \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{False} & \mathcal{E}\llbracket \mathbf{a}_0 \rrbracket \sigma > \mathcal{E}\llbracket \mathbf{a}_1 \rrbracket \sigma \\ \ \textit{B}\llbracket \mathbf{b}_0 \ \text{and} \ \mathbf{b}_1 \rrbracket \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \mathcal{B}\llbracket \mathbf{b}_0 \rrbracket \sigma \land \mathcal{B}\llbracket \mathbf{b}_1 \rrbracket \sigma \\ \ \textit{B}\llbracket \mathbf{b}_0 \ \text{or} \ \mathbf{b}_1 \rrbracket \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \mathcal{B}\llbracket \mathbf{b}_0 \rrbracket \sigma \lor \mathcal{B}\llbracket \mathbf{b}_1 \rrbracket \sigma \end{array}$$



The simple part:

$$\begin{array}{ccc} \mathcal{D}\llbracket \textbf{skip} \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma \\ \mathcal{D}\llbracket X := a \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma [\mathcal{E}\llbracket a \rrbracket \sigma / X] \\ \mathcal{D}\llbracket c_0; c_1 \rrbracket & \stackrel{\text{def}}{=} & \mathcal{D}\llbracket c_1 \rrbracket \circ \mathcal{D}\llbracket c_0 \rrbracket \\ \mathcal{D}\llbracket \textbf{if} \ b \ \{c_0\} \ \textbf{else} \ \{c_1\} \rrbracket & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \begin{cases} \mathcal{D}\llbracket c_0 \rrbracket \sigma & \mathcal{B}\llbracket b \rrbracket \sigma = \textit{True} \\ \mathcal{D}\llbracket c_1 \rrbracket \sigma & \mathcal{B}\llbracket b \rrbracket \sigma = \textit{False} \end{cases}$$



The simple part:

$$\begin{array}{rcl} \mathcal{D}[\![\mathbf{skip}]\!] & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma \\ \mathcal{D}[\![X := a]\!] & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma[\mathcal{E}[\![a]\!]\sigma/X] \\ \mathcal{D}[\![c_0; c_1]\!] & \stackrel{\text{def}}{=} & \mathcal{D}[\![c_1]\!] \circ \mathcal{D}[\![c_0]\!] \\ \end{array} \\ \mathcal{D}[\![\mathbf{if} \ b \ \{c_0\}\!] \ \textbf{else} \ \{c_1\}\!] & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \begin{cases} \mathcal{D}[\![c_0]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = True \\ \mathcal{D}[\![c_1]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = False \end{cases} \end{cases}$$

The hard part:

$$\mathcal{D}[\![\mathsf{while} \ b \ \{c\}]\!] = \lambda \sigma \in \Sigma. \begin{cases} \sigma \qquad \qquad \mathcal{B}[\![b]\!]\sigma = \mathsf{False} \\ (\mathcal{D}[\![\mathsf{while} \ b \ \{c\}]\!] \circ \mathcal{D}[\![c]\!])\sigma \qquad \mathcal{B}[\![b]\!]\sigma = \mathsf{True} \end{cases}$$



The simple part:

$$\begin{array}{ccc} \mathcal{D}[\![\mathsf{skip}]\!] & \stackrel{def}{=} & \lambda \sigma \in \Sigma. \ \sigma \\ \mathcal{D}[\![X := a]\!] & \stackrel{def}{=} & \lambda \sigma \in \Sigma. \ \sigma[\mathcal{E}[\![a]\!]\sigma/X] \\ \mathcal{D}[\![c_0; c_1]\!] & \stackrel{def}{=} & \mathcal{D}[\![c_1]\!] \circ \mathcal{D}[\![c_0]\!] \\ \end{array} \\ \mathcal{D}[\![\mathsf{if} \ b \ \{c_0\} \ \mathsf{else} \ \{c_1\}\!] & \stackrel{def}{=} & \lambda \sigma \in \Sigma. \ \begin{cases} \mathcal{D}[\![c_0]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = \mathsf{True} \\ \mathcal{D}[\![c_1]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = \mathsf{False} \end{cases} \end{cases}$$

The hard part:

$$\mathcal{D}\llbracket \text{while } b \ \{c\}\rrbracket = \lambda \sigma \in \Sigma. \begin{cases} \sigma \qquad \qquad \mathcal{B}\llbracket b \rrbracket \sigma = \textit{False} \\ (\mathcal{D}\llbracket \text{while } b \ \{c\}\rrbracket \circ \mathcal{D}\llbracket c \rrbracket) \sigma \quad \mathcal{B}\llbracket b \rrbracket \sigma = \textit{True} \end{cases}$$

This recursive definition is not constructive — it does not tell us how to construct the function. Worse, it is unclear it even exists in general.



Partial Orders and Least Upper Bounds

To construct fixpoints of the form x = f(x), we need the theory of complete partial orders (cpo's).

Definition (Partial Order)

Given a set X, a partial order $\sqsubseteq \subseteq X \times X$ is

- (i) transitive: if $x \sqsubseteq y, y \sqsubseteq z$, then $x \sqsubseteq z$
- (ii) reflexive: $x \sqsubseteq x$
- (iii) anti-symmetric: if $x \sqsubseteq y, y \sqsubseteq x$ then x = y

Definition (Least Upper Bound) For $Y \subseteq X$, the least upper bound $\bigsqcup Y \in X$ is: (i) $\forall y \in Y. y \sqsubseteq \bigsqcup Y$ (ii) for any $z \in X$ such that $\forall y \in Y. y \sqsubseteq z$, we have $\bigsqcup Y \sqsubseteq z$



Complete Partial Orders

Definition (Complete Partial Order)

A partial order \sqsubseteq is complete (a cpo) if any ω -chain $x_1 \sqsubseteq x_2 \sqsubseteq x_3 \sqsubseteq x_4 \ldots = \{x_i \mid i \in \omega\}$ has a least upper bound $\bigsqcup_{i \in \omega} x_i \in X$.

A cpo is called pointed (pcpo), if there is a smallest element $\perp \in X$. (Note some authors assume all cpos to be pointed.)



Complete Partial Orders

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A cpo is called pointed (pcpo), if there is a smallest element $\perp \in X$. (Note some authors assume all cpos to be pointed.)

Definition (Continuous Function)

Given cpos (X, \sqsubseteq) and (Y, \leq) . A function $f : X \rightarrow Y$ is

(i) monotone, if $x \sqsubseteq y$ then $f(x) \le f(y)$

(ii) continuous, if monotone and $f(\bigsqcup_{i \in \omega} x_i) = \bigsqcup_{i \in \omega} f(x_i)$





Fixpoints

Theorem (Each continuous function has a least fixpoint)

Let (X, \sqsubseteq) be a pcpo, and $f : X \to X$ continuous, then f has a least fixpoint fix(f), given as

$$fix(f) = \bigsqcup_{n \in \omega} f^n(\bot)$$

- ▶ In our case, the state Σ is made into a pcpo Σ_{\perp} by 'adjoining' a new element \perp , ordered as $\perp \sqsubseteq \sigma$.
- \blacktriangleright This models partial functions: $\Sigma \rightharpoonup \Sigma \cong \Sigma \rightarrow \Sigma_\perp$
- $\Sigma \to \Sigma_{\perp}$ ist a pcpo, ordered as

$$f \sqsubseteq g \longleftrightarrow \forall x.f(x) \sqsubseteq g(x)$$

Concretely, $f \sqsubseteq g$ means that f is defined on fewer states than g.



$$\begin{array}{cccc} \mathcal{D}[\![\mathbf{skip}]\!] & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma \\ \mathcal{D}[\![X := a]\!] & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \ \sigma[\mathcal{E}[\![a]\!]\sigma/X] \\ \mathcal{D}[\![c_0; c_1]\!] & \stackrel{\text{def}}{=} & \mathcal{D}[\![c_1]\!] \circ \mathcal{D}[\![c_0]\!] \\ \mathcal{D}[\![c_0]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = True \\ \mathcal{D}[\![c_1]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = False \\ \end{array}$$

$$\begin{array}{cccc} \mathcal{D}[\![\mathbf{while} \ b \ \{c\}\!] & \stackrel{\text{def}}{=} & fix(\Gamma) \\ \text{where } \Gamma(\phi) & \stackrel{\text{def}}{=} & \lambda \sigma \in \Sigma. \end{array} \begin{cases} \phi \circ \mathcal{D}[\![c]\!]\sigma & \mathcal{B}[\![b]\!]\sigma = True \\ \sigma & \mathcal{B}[\![b]\!]\sigma = True \\ \sigma & \mathcal{B}[\![b]\!]\sigma = False \end{cases}$$



Equivalence of Semantics

Lemma

Proof: Structural Induction on a and b.

Lemma

For
$$c \in \textit{Com}$$
, if $\langle c, \sigma \rangle \rightarrow_{S} \sigma'$ then $\mathcal{D}[\![c]\!]\sigma = \sigma'$

Proof: Induction over deriviation of $\langle c, \sigma \rangle \rightarrow_S \sigma'$.

Theorem (Equivalence of Semantics)

For $c \in Com$, and $\sigma, \sigma' \in \Sigma$,

$$\langle \boldsymbol{c}, \sigma \rangle \rightarrow_{\boldsymbol{S}} \sigma' \text{ iff } \mathcal{D}\llbracket \boldsymbol{c} \rrbracket \sigma = \sigma'$$

The proof of this theorem requires a technique called fixpoint induction which we will not go into detail about here.

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Correctness of Floyd-Hoare Rules

Denotational semantics allows us to prove the correctness of the Floyd-Hoare rules.

- ► We extend the boolean semantic functions *E* and *B* to **AExpv** and **BExpv**, respectively.
- We can then define the validity of a Hoare triple in terms of denotations:

 $\models \{P\} c \{Q\} \text{ iff } \forall \sigma. \mathcal{B}\llbracket P \rrbracket \sigma \land \mathcal{D}\llbracket c \rrbracket \sigma \neq \bot \longrightarrow \mathcal{B}\llbracket Q \rrbracket (\mathcal{D}\llbracket c \rrbracket \sigma)$

We can now show the rules preserve validity, *i.e.* if the preconditions are valid Hoare triples, then so is the conclusion.



Remarks

- Our language and semantics is quite simple-minded. We have not take into account:
 - undefined expressions (such as division by 0 or accessing an undefined variable),
 - side effects in expressions,
 - declaration of variables,
 - pointers, references, pointer arithmetic,
 - input/output (what is the semantic model?), or
 - concurrency.
- However, there are formal semantics for languages such as StandardML, C, or Java, although most of them concentrate on some aspect of the language (*e.g.* Java concurrency is not very well defined in the standard). Only StandardML has a language standard which is written as an operational semantics.



Conclusion

- Programming semantics come in three flavours: operational, denotational, axiomatic.
- Each of these has their own use case:
 - Operational semantics gives details about evaluation of programs, and is good for implementing the programming language.
 - Denotational semantics is abstract and good for high-level reasoning (e.g. correctness of program logics or tools).
 - Axiomatic semantics is about program logics, and reasoning about programs.
- Denotational semantics needs the mathematical toolkit of cpos to construct fixpoints.

