

DFK

Systeme hoher Sicherheit und Qualität
Universität Bremen, WS 2017/2018



Lecture 07:

Testing

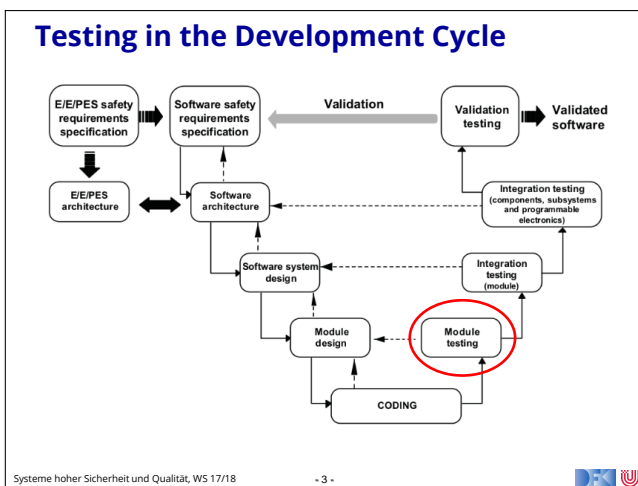
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Where are we?

- ▶ 01: Concepts of Quality
- ▶ 02: Legal Requirements: Norms and Standards
- ▶ 03: The Software Development Process
- ▶ 04: Hazard Analysis
- ▶ 05: High-Level Design with SysML
- ▶ 06: Formal Modelling with OCL
- ▶ 07: Testing
- ▶ 08: Static Program Analysis
- ▶ 09-10: Software Verification
- ▶ 11-12: Model Checking
- ▶ 13: Conclusions

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What is Testing?

Testing is the process of executing a program or system with the intent of finding errors.

G.J. Myers, 1979

- ▶ In our sense, testing is selected, controlled program execution
- ▶ The **aim** of testing is to detect bugs, such as
 - ▶ derivation of occurring characteristics of quality properties compared to the specified ones
 - ▶ inconsistency between specification and implementation
 - ▶ structural features of a program that cause a faulty behavior of a program

Program testing can be used to show the presence of bugs, but never to show their absence.

E.W. Dijkstra, 1972

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The Testing Process

- ▶ Test cases, test plan, etc.
- ▶ System-under-test (s.u.t.) (cf. TOE in CC)
- ▶ Warning -- test literature is quite expansive

Testing is any activity aimed at evaluating an attribute or capability of a program or system and determining that it meets its required results.

Hetzel, 1983

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Test Levels

- ▶ **Component** and **unit tests**
 - ▶ test at the interface level of single components (modules, classes)
- ▶ **Integration test**
 - ▶ testing interfaces of components fit together
- ▶ **System test**
 - ▶ functional and non-functional test of the complete system from the user's perspective
- ▶ **Acceptance test**
 - ▶ testing if system implements contract details

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Test Methods

- ▶ Static vs. dynamic
 - ▶ With **static** tests, the code is **analyzed** without being run. We cover these methods as static program analysis later
 - ▶ With **dynamic** tests, we **run** the code under controlled conditions, and check the results against a given specification
- ▶ Central question: where do the **test cases** come from?
 - ▶ **Black-box**: the inner structure of the s.u.t. is opaque, test cases are derived from specification **only**.
 - ▶ **Grey-box**: some inner structure of the s.u.t. is known, e.g. module architecture.
 - ▶ **White-box**: the inner structure of the s.u.t. is known, and tests cases are derived from the source code.

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Black-Box Tests

- ▶ Limit analysis:
 - ▶ If the specification limits input parameters, then values **close** to these limits should be chosen
 - ▶ Idea is that programs behave **continuously**, and errors occur at these limits
- ▶ Equivalence classes:
 - ▶ If the input parameter values can be decomposed into **classes** which are treated equivalently, test cases have to cover all classes
- ▶ Smoke test:
 - ▶ "Run it, and check it does not go up in smoke."

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Example: Black-Box Testing

- Equivalence classes or limits?

Example: A Company Bonus System

The loyalty bonus shall be computed depending on the time of employment. For employees of more than three years, it shall be 50% of the monthly salary, for employees of more than five years, 75%, and for employees of more than eight years, it shall be 100%.

- Equivalence classes or limits?

Example: Air Bag

The air bag shall be released if the vertical acceleration a_v equals or exceeds 15 m/s^2 . The vertical acceleration will never be less than zero, or more than 40 m/s^2 .



Black-Box Tests

- Quite typical for **GUI tests**, or **functional testing**
- Testing **invalid input**: depends on programming language – the stronger the typing, the less testing for invalid input is required
 - Example: consider lists in C, Java, Haskell
 - Example: consider object-relational mappings¹ (ORM) in Python, Java

¹) Translating e.g. SQL-entries to objects



Property- based Testing

- In property-based testing (or random testing), we generate **random** input values, and check the results against a given **executable** specification.
- Attention needs to be paid to the **distribution** values.
- Works better with **high-level languages**, where the datatypes represent more information on an abstract level and where the language is powerful enough to write comprehensive executable specifications (i.e. Boolean expressions).
 - Implementations for e.g. Haskell, Scala, Java
- Example: consider list reversal in C, Java, Haskell
 - Executable spec: reversal is idempotent and distributes over concatenation.
 - Question: how to generate random lists?



White-Box Tests

- In white-box tests, we derive test cases based on the structure of the program (**structural testing**)
 - To abstract from the source code (which is a purely syntactic artefact), we consider the **control flow graph** of the program.

Def: Control Flow Graph (CFG)

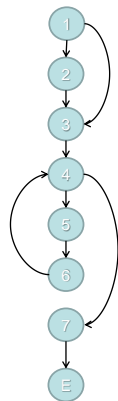
- nodes as elementary statements (e.g. assignments, **return**, **break**, ...), as well as control expressions (e.g. in conditionals and loops), and
- vertices from n to m if the control flow can reach a node m coming from a node n .

- Hence, **paths** in the CFG correspond to **runs** of the program.



Example: Control-Flow Graph

```
if (x < 0) /*1*/ {
  x := -x /*2*/
}
z = 1; /*3*/
while (x > 0) /*4*/ {
  z = z * y; /*5*/
  x = x - 1 /*6*/
}
return z /*7*/
```



An execution path is a path through the cfg.

- Examples:
- [1,3,4,7, E]
 - [1,2,3,4,7, E]
 - [1,2,3,4,5,6,4,7, E]
 - [1,3,4,5,6,4,5,6,4,7, E]
 - ...



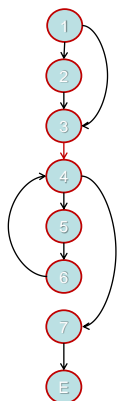
Coverage

- **Statement coverage**: Each **node** in the CFG is visited at least once.
- **Branch coverage**: Each **vertex** in the CFG is traversed at least once.
- **Decision coverage**: Like branch coverage, but specifies how often **conditions** (branching points) must be evaluated.
- **Path coverage**: Each **path** in the CFG is executed at least once.



Example: Statement Coverage

```
if (x < 0) /*1*/ {
  x := -x /*2*/
}
z = 1; /*3*/
while (x > 0) /*4*/ {
  z = z * y; /*5*/
  x = x - 1 /*6*/
}
return z /*7*/
```



- Which (minimal) path covers all statements?

$p = [1, 2, 3, 4, 5, 6, 4, 7, E]$

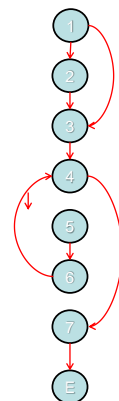
- Which state generates p ?

$x = -1$
 y any
 z any



Example: Branch Coverage

```
if (x < 0) /*1*/ {
  x := -x /*2*/
}
z = 1; /*3*/
while (x > 0) /*4*/ {
  z = z * y; /*5*/
  x = x - 1 /*6*/
}
return z /*7*/
```



- Which (minimal) path covers all vertices?

$p_1 = [1, 2, 3, 4, 5, 6, 4, 7, E]$
 $p_2 = [1, 3, 4, 7, E]$

- Which states generate p_1, p_2 ?

	p_1	p_2
x	-1	0
y	any	any
z	any	any

- Note p_3 ($x = 1$) does not add coverage.

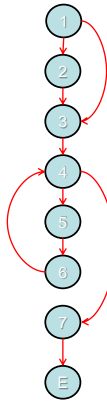


Example: Path Coverage

```

if (x < 0) /*1*/ {
  x := - x /*2*/
}
z = 1; /*3*/
while (x > 0) /*4*/ {
  z = z * y; /*5*/
  x = x - 1 /*6*/
}
return z /*7*/

```



- ▶ How many paths are there?
- ▶ Let $q_1 = [1,2,3]$
 $q_2 = [1,3]$
 $p = [4,5,6]$
 $r = [4,7,E]$
then all paths are
 $P = (q_1 | q_2) p^* r$
- ▶ Number of possible paths:
 $|P| = 2 \cdot MaxInt - 1$



Statement, Branch and Path Coverage

- ▶ **Statement Coverage:**
 - ▶ Necessary but not sufficient, not suitable as only test approach.
 - ▶ Detects dead code (code which is never executed).
 - ▶ About 18% of all defects are identified.
- ▶ **Branch coverage:**
 - ▶ Least possible single approach.
 - ▶ Detects dead code, but also frequently executed program parts.
 - ▶ About 34% of all defects are identified.
- ▶ **Path Coverage:**
 - ▶ Most powerful structural approach;
 - ▶ Highest defect identification rate (100%);
 - ▶ But no **practical** relevance.



Decision Coverage

- ▶ Decision coverage is **more** than branch coverage, but less than full **path** coverage.
- ▶ Decision coverage requires that for all decisions in the program, each possible outcome is considered once.
- ▶ **Problem:** cannot sufficiently distinguish Boolean expressions.
 - ▶ For $A \parallel B$, the following are sufficient:

A	B	Result
false	false	false
true	false	true
 - ▶ But this does not distinguish $A \parallel B$ from A ; B is effectively not tested.



Decomposing Boolean Expressions

- ▶ The binary Boolean operators include conjunction $x \wedge y$, disjunction $x \vee y$, or anything expressible by these (e.g. exclusive disjunction, implication)

Elementary Boolean Terms

An elementary Boolean term does not contain binary Boolean operators, and cannot be further decomposed.

- ▶ An elementary term is a variable, a Boolean-valued function, a relation (equality =, orders $<$, \leq , $>$, \geq , etc.), or a negation of these.
- ▶ This is a fairly syntactic view, e.g. $x \leq y$ is elementary, but $x < y \vee x = y$ is not, even though they are equivalent.
- ▶ In formal logic, these are called **literals**.



Simple Condition Coverage

- ▶ For each condition in the program, each elementary Boolean term evaluates to *True* and *False* at least once
- ▶ Note that this does not say much about the possible value of the condition
- ▶ Examples and possible solutions:

```
if (temperature > 90 && pressure > 120) { ... }
```

C1	C2	Result
True	True	True
True	False	False
False	True	False
False	False	False



Modified Condition Coverage

- ▶ It is not always possible to generate all possible combinations of elementary terms, e.g. $3 \leq x \ \&\& \ x < 5$.
- ▶ In modified (or minimal) condition coverage, all possible combinations of those elementary terms the value of which determines the value of the whole condition need to be considered.
- ▶ Example:

```
3 <= x && x < 5
```

False	False	False	← not needed
False	True	False	
True	False	False	
True	True	True	

- ▶ Another example: $(x > 1 \ \&\& \ !p) \ || \ p$



Modified Condition/Decision Coverage

- ▶ Modified Condition/Decision Coverage (MC/DC) is required by **DO-178B** for Level A software.
- ▶ It is a **combination** of the previous coverage criteria defined as follows:
 - ▶ Every point of entry and exit in the program has been invoked at least once;
 - ▶ Every decision in the program has taken all possible outcomes at least once;
 - ▶ Every condition in a decision in the program has taken all possible outcomes at least once;
 - ▶ Every condition in a decision has been shown to independently affect that decision's outcome.



How to achieve MC/DC

- ▶ **Not:** Here is the source code, what is the minimal set of test cases?
- ▶ **Rather:** From requirements we get test cases, do they achieve MC/DC?
- ▶ Example:
 - ▶ Test cases:

Source Code:
 $Z := (A \ || \ B) \ \&\& \ (C \ || \ D)$

Test case	1	2	3	4	5
Input A	F	F	T	F	T
Input B	F	T	F	T	F
Input C	T	F	F	T	T
Input D	F	T	F	F	F
Result Z	F	T	F	T	T

Question: do test cases achieve MC/DC?

Source: Hayhurst *et al*, A Practical Tutorial on MC/DC. NASA/TM2001-210876



Summary

- ▶ (Dynamic) Testing is the controlled execution of code, and comparing the result against an expected outcome
- ▶ Testing is (traditionally) the main way for **verification**.
- ▶ Depending on how the test cases are derived, we distinguish **white-box** and **black-box** tests
- ▶ In black-box tests, we can consider **limits** and **equivalence classes** for input values to obtain test cases
- ▶ In white-box tests, we have different notions of **coverage**: statement coverage, path coverage, condition coverage, etc.
- ▶ Next week: **Static testing** aka. static **program analysis**

